

## Evolution and diversity of copy number variation in the great ape lineage

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#### Research

# Evolution and diversity of copy number variation in the great ape lineage

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Copy number variation (CNV) contributes to disease and has restructured the genomes of great apes. The diversity and rate of this process, however, have not been extensively explored among great ape lineages. We analyzed 97 deeply sequenced great ape and human genomes and estimate 16% (469 Mb) of the hominid genome has been affected by recent CNV. We identify a comprehensive set of fixed gene deletions (n = 340) and duplications (n = 405) as well as >13.5 Mb of sequence that has been specifically lost on the human lineage. We compared the diversity and rates of copy number and single nucleotide variation across the hominid phylogeny. We find that CNV diversity partially correlates with single nucleotide diversity ( $t^2 = 0.5$ ) and recapitulates the phylogeny of apes with few exceptions. Duplications significantly outpace deletions (2.8-fold). The load of segregating duplications remains significantly higher in bonobos, Western chimpanzees, and Sumatran orangutans—populations that have experienced recent genetic bottlenecks (P = 0.0014, 0.02, and 0.0088, respectively). The rate of fixed deletion has been more clocklike with the exception of the chimpanzee lineage, where we observe a twofold increase in the chimpanzee-bonobo ancestor ( $P = 4.79 \times 10^{-9}$ ) and increased deletion load among Western chimpanzees (P = 0.002). The latter includes the first genomic disorder in a chimpanzee with features resembling Smith-Magenis syndrome mediated by a chimpanzee-specific increase in segmental duplication complexity. We hypothesize that demographic effects, such as bottlenecks, have contributed to larger and more gene-rich segments being deleted in the chimpanzee lineage and that this effect, more generally, may account for episodic bursts in CNV during hominid evolution.

[Supplemental material is available for this article.]

Sequence and assembly of great ape reference genomes have consistently revealed that copy number variation (CNV) affects more base pairs than single nucleotide variation (SNV) (Cheng et al. 2005; The Chimpanzee Sequencing and Analysis Consortium 2005; Locke et al. 2011). Segmental duplications, in particular, have disproportionately affected the African great ape (human, chimpanzee, and gorilla) lineages, where they appear to have accumulated at an accelerated rate (Cheng et al. 2005; Marques-Bonet et al. 2009). This has led to speculation that differences in fixation and copy number polymorphism may have contributed to the phenotypic "plasticity" and species-specific differences between humans and great apes (Olson 1999; Varki et al. 2008). While there is some evidence that fixed deletions and duplications contribute to morphological differences between humans and great apes (McLean et al. 2011; Charrier et al. 2012; Dennis et al. 2012), a comprehensive assessment of these differences at the level of the genome has not yet been

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performed. Previous studies of CNV have been predominated by array comparative genomic hybridization (CGH) experiments (Fortna et al. 2004; Perry et al. 2006; Dumas et al. 2007; Gazave et al. 2011; Locke et al. 2011), which provide limited size resolution, are imprecise in absolute copy number differences, and are biased by probes derived from the human reference genome. Comparisons of reference genomes have been complicated by assessments of a single individual and distinguishing CNVs from assembly errors (The Chimpanzee Sequencing and Analysis Consortium 2005; Locke et al. 2011; Ventura et al. 2011; Prüfer et al. 2012). Here, we compare the evolution and diversity of deletions, duplications, and SNVs in 97 great ape individuals sequenced to high coverage (median  $\sim$ 25×) (Prado-Martinez et al. 2013). The set includes multiple individuals from the four great ape genera, including Bornean and Sumatran orangutans, each of the four recognized chimpanzee subspecies, bonobos, and both Eastern and Western gorillas, in addition to 10 diverse humans and a high-coverage archaic Denisovan individual. This data set provides unprecedented genome-wide resolution to interrogate multiple forms of genetic variation and a unique opportunity to directly compare mutational processes and patterns of diversity in great apes.

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#### Results

#### Patterns and diversity

We constructed maps of deletions and segmental duplications by measuring sequence read-depth in 500-bp unmasked windows across the genome (Sudmant et al. 2010). We used a scale-space filtering algorithm to identify deletion and duplication breakpoints (Fig. 1A,B; Supplemental Section 3). In addition to the breakpoints of deletions and duplications, read-depth genotyping allows us to determine the absolute copy number of loci at an individual genome level. We partitioned CNVs into three categories: fixed (i.e., the deletion or duplication was seen as a homozygous event in most individuals), copy number polymorphic, and private (observed only once) (see Supplemental Material for definitions). Fixed lineage-specific (events occurring on edges between nodes in the species tree) segmental duplications are nonrandomly distributed (*P* < 0.0002, permutation test) with >20% mapping within 5 kb of shared ancestral duplications (Supplemental Section 7)-a phenomenon we previously described as duplication shadowing (Cheng et al. 2005; Marques-Bonet et al. 2009). Deletions, in contrast, are randomly distributed across great ape genomes with respect to one another (P > 0.2, permutation test).

We parsimoniously assigned fixed events to ancestral branches based on comparisons between populations. In total, we identify 469 Mb of CNVs (Table 1). This set includes 11,836 fixed duplicated loci (325 Mb; median length of 3778 bp), 5528 fixed deletions (47 Mb; median size = 4227 bp), and 6406 private and segregating copy number variants (96.2 Mb) (Table 1; Supplemental Section 3). To assess the accuracy of these calls, we performed 104 fluorescent in situ hybridization (FISH) experiments confirming 102 of the loci tested (98.1%). We also designed three custom duplication and deletion array comparative genomic hybridization (CGH) microarrays confirming 85.1% of CNPs (1294/ 1520 of events >2 kb), 96.9% (3660/3776) of fixed duplications, and 98.6% (3966/4021) of fixed deletions (Supplemental Section 4). As part of our assessment of deletions, we also screened sequence absent from the human reference genome yet present in one or more of the great ape reference genomes (Supplemental Section 6). Since these "missing sequences" may represent artifacts or polymorphisms, we additionally estimated the frequency of each segment in 624 diverse humans from 13 different populations (The 1000 Genomes Project Consortium 2012). We assigned 13.54 Mb of human deletions unambiguously to specific time intervals during the evolution of our species. Notably,  $\sim 5\%$  of these deleted sequences are still segregating in the human population, consistent with known population relationships among extant humans (Fig. 1C).

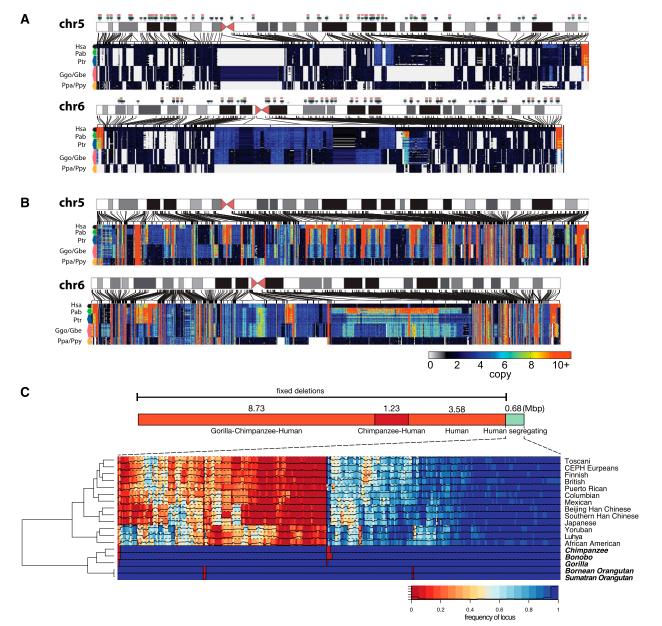
Since fixed deletions are less likely than duplications to be subjected to recurrent mutation events, we assessed whether they might serve as reliable genetic markers for phylogenetic reconstruction of ape populations. The resulting neighbor-joining tree of deletion genotypes (Fig. 2A) accurately recapitulates the ape phylogeny, including separation of Bornean and Sumatran orangutans, Eastern and Western gorillas, and bonobos and chimpanzees with high confidence. In contrast, however, to trees built from mitochondrial haplotypes or autosomal single nucleotide polymorphism (SNP) data from the same population (Prado-Martinez et al. 2013), Central chimpanzees emerge as an outgroup to the other chimpanzee subspecies (96% support). Interestingly, we observed a slight distortion toward increased branch length for the chimpanzee–bonobo ancestral lineage, which becomes more pronounced for larger deletions (see the section below, Rates and CNV Load) (Supplemental Section 9). Principal component analysis (PCA) of segregating structural variants also captures the subspecies relationships in addition to interpopulation diversity (Fig. 2B). Our analysis shows that estimates of SNP diversity and segregating copy number variants (as measured by Watterson's  $\theta$ ) are correlated ( $r^2 = 0.5$  Pearson, P = 0.02).

#### Genes

The availability of multiple sequenced genomes allows us to generate a comprehensive list of fixed deletions and duplications that disrupt genes along each branch of the ape lineage (see Supplemental Section 5). We identified 407 lineage-specific gene duplications and 340 deletions with complete or partial exon loss (Fig. 3A-C) with an excess of gene duplication events in the African great ape and chimpanzee-human ancestor. Lineage-specific duplications include a chimpanzee expansion of PRDM7, a highidentity paralog of PRDM9, in common chimpanzees (10-20 copies) and bonobos (35-40 copies) that is stratified among chimpanzee populations; a 75-kb gorilla-specific expansion of C1QTNF and AMACR-genes important in brain and skeletal development; and 33 genes duplicated specifically in human since divergence from chimpanzee. This includes two genes that appear to have been duplicated, or to have increased in frequency, in the human lineage after the divergence from Denisova, ~700 thousand years ago (kya) (Meyer et al. 2012), with the caveat that only a single Denisovan individual was assessed. These potential Homo sapiens-specific genes include BOLA2, which resides just inside the critical region of the 16p11.2 locus, the deletion of which results in developmental delay, intellectual disability, and features of autism (Kumar et al. 2008; Weiss et al. 2008).

Among the 340 exonic gene-loss events, orangutans show the highest number (90), commensurate with their divergence from African great apes ~16 million years ago (mya). Strikingly, the second highest number of gene-loss events occurs in the chimpanzee-bonobo ancestral lineage, where 57 genes exhibit exonic deletions. As expected, we find a massive enrichment for olfaction genes (96/340) in addition to fixed deletions of immunity (IL36, IL37 in chimp; CCL26 in gorilla), drug detoxification (CYP3A43 in Denisova; CYP2C18 in humans and chimps), and sperm surface membrane genes (ADAM2 in gorilla; ADAM3A in gorilla and Pan genus). Some genes appear to have undergone both lineagespecific duplication and loss. Of note is the carboxyl-esterase gene family (CES1, 2, 3), which appears to have expanded independently in all great ape lineages with the exception of human, where it remains diploid or alternatively has been subjected to deletion.

We were also interested in genes that were lost in the human lineage and therefore absent from the human genome, since these have been hypothesized to contribute disproportionately to the evolution of human adaptive traits (Olson 1999). We, thus, analyzed the 13.54 Mb of human fixed deletions (see above) for the presence of open reading frames (ORFs) where there was also support for a multi-exon spliced transcript from RNA-seq data from multiple nonhuman primate tissues (Brawand et al. 2011; Supplemental Section 6). By this definition, we identified 86 putative gene losses along the branches leading to the human lineage—40 since divergence from chimpanzee. A search of these ORFs against the RefSeq protein database yielded not only previously annotated gene-loss events, such as the human-specific *SIGLEC13* (Wang et al. 2012) and *CLECM4* (Ortiz et al. 2008) deletions, but 42 pre-



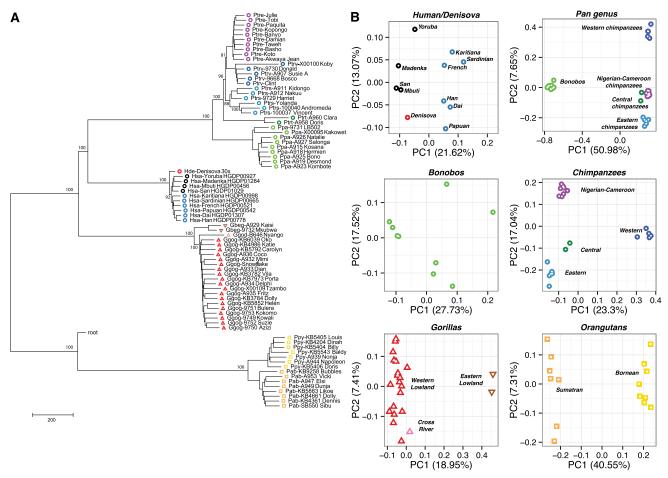
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**Figure 1.** Duplication and deletion landscape. (*A*) Ideograms of human autosomes 5 and 6 overlaid with copy number heat maps of the deletion landscape of great apes across seven species and 11 distinct populations. Each row represents one of 97 individuals sorted by species; each column shows the estimated copy number in each of these individuals for deleted loci in 500-bp unmasked windows. Arrows *above* the chromosome ideogram indicate deletions identified along the lineages leading to the human species, the African great ape, chimpanzee–human, and human lineages, respectively. (*B*) Ideograms of human autosomes 5 and 6 overlaid with copy number heat maps of the duplication landscape of great apes. (C) Breakdown of the number of base pairs lost along the lineage leading to humans identified by screening sequence absent from the human reference genome yet present in the orangutan, gorilla, or chimpanzee reference genomes against the 97 great apes sequenced in this study. A total of 13.54 Mb has been lost in these lineages since the divergence of African great apes and orangutans. We find that an additional 680 kb (316 loci) of sequence absent from the human reference genome (4.8% of the total) is fixed in all nonhuman great apes and segregating in humans. For these loci a hierarchically clustered heat map is shown. Colors indicate the frequency of sequences absent in the human reference genome assessed in 624 diverse individuals from 13 different populations sequenced to low coverage by the 1000 Genomes Project and found to be segregating with  $\geq$ 5% frequency in at least one population. The hierarchical clustering recapitulates all the relationships between the individual human populations and the different great ape species assessed in this study. We identify 53.8 kb of sequence segregating exclusively in African populations compared to only 1.4 kb of sequence segregating specifically in Europeans.

viously unannotated or only predicted protein-coding genes with homology with other genes, 28 of which intersect highly conserved elements (HCEs) (Siepel et al. 2005). In total we identified 180 kb of highly conserved sequence within these fixed deletions, a marked depletion compared to the 3%–8% of the human reference genome encompassed by HCEs. However, 18% and 12% of regions were located within introns or within 10 kb upstream of or downstream from annotated genes, respectively, suggesting that some of these loci may have a potential regulatory impact as has been previously suggested (McLean et al. 2011).

Table 1. Summary of all fixed and segregating duplications and deletions identified in 97 great apes	Jregating duplicatior	s and deletions identifi	ed in 97 great a	pes				
	: - -	- -	- - -	- - -		Copy number pol	Copy number polymorphisms (>2 kb) <sup>a</sup>	
Lineage	substitutions along branch (bp)	Copy corrected fixed duplicated base pairs	rixed deleted base pairs	Fixed duplicated sites	Fixed deleted sites (>2 kb)	Private <sup>b</sup>	Segregating <sup>c</sup>	enome
Bornean/Sumatran orangutan ancestor Bornean orangutan (n = 9) Sumatran orangutan (n = 8)	36,672,566 4,645,727 4,444,985	27,854,532 487,342 361,732	11,292,486 411,190 210,551	885 48 38	1111 36 10	 357 501	 310 405	
Human/Denisova ancestor Human $(n = 10)$ Denisova $(n = 1)$	15,006,845 2,113,519 1,909,910	5,715,010 280,445 142,093	4,303,500 52,505 931,589	282 19 6	919 12 113	383		
Chimpanzee/bonobo ancestor Bonobo ( $n = 14$ )	11,212,835 5,075,887	6,050,953 860,900	7,943,919 1,644,331	121 67	665 149	 408	<u> </u>	- 22
Chimpanzee ancestor Nigerian/Western chimpanzee Central/Eastern chimpanzee Western chimpanzee ( $n = 5$ ) Nigerian–Cameroon chimpanzee ( $n = 10$ ) Eastern chimpanzee ( $n = 6$ ) Central chimpanzee ( $n = 2$ )	2,322,863 372,805 172,063 2,466,250 2,322,863 2,494,927 2,380,218	802,219 13,830 1790 31,250 13,024 1302 20,823	451,846 21,208 8730 8730 283,012 35,715 10,633 12,655	30 15 10 10	6 4 – W v w v	241 241 813 813		     
Human/chimpanzee/bonobo ancestor Gorilla ancestor Westem/Cross River gorilla (n = 29) Eastern gorilla (n = 3) Cross River gorilla (n = 1)	3,613,343 16,747,559 487,514 2,953,764 2,265,508	4,227,312 29,413,779 339,371 446,285 111,722	1,232,172 7,701,191 178,376 533,317 1,194,717	269 278 35 39	213 770 9 146		1   418	98.3
African great ape ancestor Apes ancestral to great	21,284,312 —	55,560,468 192,822,491	8,729,420 —	1744 7863	1185 —			
Total ( $n = 97$ )	140,966,263	325,559,643	47,183,063	11,836	5528	4318	2088	I
<sup>a</sup> CNPs encompassing 2 kb of non-repeat-masked sequence are <sup>b</sup> 56.9 Mb in total of private CNPs. <sup>c</sup> 139.3 Mb of segregating CNPs.		reported. The median size of CNPs reported is 8061 bp.	e of CNPs reporte	ed is 8061 bp.				

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**Figure 2.** Hominid deletion phylogeny. (*A*) Neighbor-joining tree constructed from pairwise edit distance of genotypes for fixed and segregating deletions >5 kb. Branch length confidence estimates were generated by repeatedly subsampling 50% of the variants and regenerating the topology. All species and subspecies relationships are reconstructed with high confidence and are concordant with the topology identified from SNPs with the exception of Central chimpanzees, which form an outgroup to the other chimpanzee subspecies as a result of their increased diversity. SNP-based trees cluster Central and Eastern chimpanzees on a single clade. Among chimpanzees, the three individuals Yolanda, Andromeda, and Vincent, the Easternmost individuals assessed in this study from Gombe National Reserve in Tanzania, cluster together with strong support. Additionally, the individuals Tobi and Julie, a distinct subpopulation of Nigerian chimpanzees by SNP analysis, cluster together. Eastern lowland gorillas form an outgroup to Western lowland gorillas. The archaic Denisova individual clusters as an outgroup to all humans with 97% support. (*B*) PCA of segregating deletion genotypes recapitulates intrapopulation relationships and additionally the relative diversity within the populations assessed.

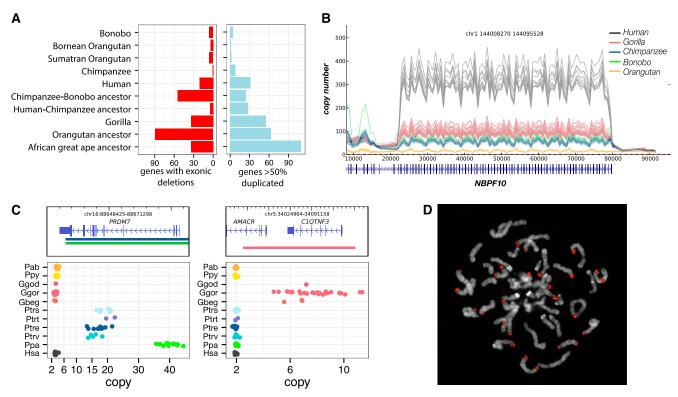
#### Rates and CNV load

Comparing deletions and duplications among different great ape lineages (>2 kb), we find that the number of base pairs added by duplication significantly exceeds that of deletions by a factor of 2.8, although this ratio varies considerably depending on the specific lineage (Table 1). In this analysis, we considered only those base pairs added by new duplication excluding the ancestral locus. Overall, we find that the contribution of fixed base pairs by deletion and duplication is ~1.4-fold greater than that of single-basepair substitutions. We estimated rates of duplication and deletion throughout great ape evolution by normalizing the number of fixed base pairs that were lost or gained as a function of genetic branch length as well as divergence time (Fig. 4A,B). All analyses were additionally computed in units of the number of events per millions of years (Supplemental Table 9.1) and exhibited the same observed trends. Although there was an acceleration of duplicated base pairs along the ancestral African great ape lineage ( $P = 9.786 \times$ 

 $10^{-12}$ ), we predict that the rate of fixation subsequently declined in the ancestral lineage of human and chimpanzee and at a slower rate in the gorilla lineage. Our analysis shows that the rate of duplication in base pairs exceeds by threefold the rate of substitution in the African great ape lineage and is about sevenfold higher than the rate of duplication in the human lineage. This results in a significant excess of fixed gene duplication events occurring at this time point (Fig. 4C) ( $P = 1.66 \times 10^{-20}$ ).

The corresponding analysis for deletions shows a markedly different pattern, with the rate occurring in a more clocklike manner throughout most of the tree with the notable exception of the ancestral lineage of chimpanzees and bonobos. We observe an approximate twofold increase in the rate of deleted base pairs leading to a distortion specifically along this branch ( $P = 4.79 \times 10^{-9}$ ). This increase results from an excess of large (>5 kb) chimpanzee–bonobo ancestral deletions, which affect significantly more genes when compared with all other great ape lineages (Fig. 4C) ( $P = 4.397 \times 10^{-8}$ ). Notably, this excess of deletions corre-





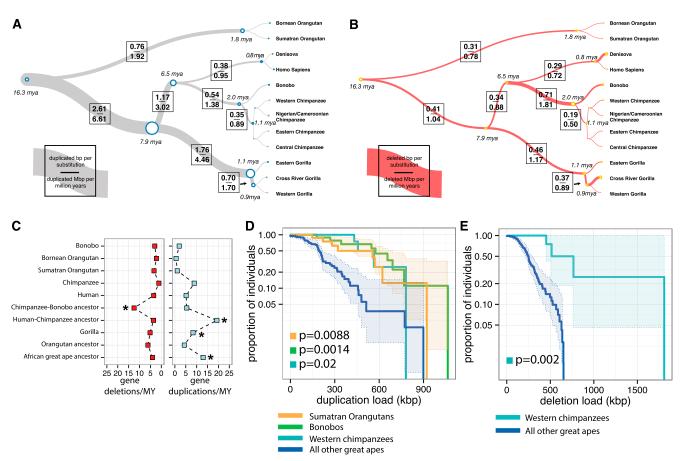
**Figure 3.** Genic variation. (*A*) Summary of the number of genes with exonic deletions and genes duplicated in each of the lineages assessed in this study. We identify 340 genes lost throughout the great ape lineage. While orangutans show the highest number of gene-exon-loss events (89), strikingly, the second highest number of gene-exon-loss events was in the chimpanzee-bonobo ancestral lineage, where 55 were lost. (*B*) A line plot of the copy number over the *DUF1220* domain of *NBPF10*. This domain has expanded specifically in the African great ape lineage with human exhibiting  $\sim$ 300 copies compared to 50–100 in chimpanzee, bonobo, and gorilla. (C) Lineage-specific great ape duplication events encompassing genes. Gene models are drawn with the duplication breakpoints shown *below* colored by lineage and dot-plots of the copy number in all individuals assessed in this study. *PRDM7* is a close paralog of *PRDM9*, the binding of which associates with recombination hotspots in humans. We find that *PRDM7* is specifically duplicated in the *Pan* genus and highly stratified; Nigerian–Cameroon chimpanzees have 10–15 copies, while Eastern and Central chimpanzees have 16–20. Bonobos exhibit 30–40 copies of the gene. FISH assays demonstrate the extra copies to be the result of subtelomeric duplicative transposition (*D*). *AMACR* and *C1QTNF3* are also specifically duplicated in gorillas. Mutations in *AMACR* have been shown to result in adult-onset neurological disorders (Ferdinandusse et al. 2000), and *C1QTNF* plays a key role in skeletal development, inducing increased growth of murine mesenchymal cells with overexpression (Maeda et al. 2001).

sponds to a predicted collapse in the ancestral chimpanzee–bonobo effective population size ( $N_e$ ) ~3 mya (Prado-Martinez et al. 2013; Supplemental Section 5).

Because demography may have played a significant role in the excess rate of deletion in the chimpanzee-bonobo ancestor, we sought to estimate the relative burden of segregating duplications (Fig. 4D) and deletions (Fig. 4E) in each of the great ape populations by comparing CNV and SNP diversity (Methods). Specific populations showed an increased burden of CNV load, both in the total number of base pairs affected and in the number of events (Supplemental Section 10), although humans were not remarkable in this regard as has been hypothesized (Varki et al. 2008). Western chimpanzees, bonobos, and Sumatran orangutans all showed an excess of segregating duplications >30 kb, consistent with an increased duplication burden in these populations (P = 0.02, 0.0014, and 0.0088, respectively) (Supplemental Section 9). Western chimpanzees were the only population to show an additional excess of segregating deletions >30 kb (P = 0.002). All of these populations are predicted to have experienced striking collapses in their effective population sizes during recent evolution (Prado-Martinez et al. 2013; Supplemental Section 5). Western chimpanzees, in particular, exhibit the lowest overall nucleotide diversity and effective population size (8  $\times$  10<sup>-4</sup> Het/bp,  $N_{\rm e}$  = 9800) among all populations assessed. This subspecies also harbors the largest number of fixed deletions (34 events encompassing 276 kb), consistent with a population that experienced a severe bottleneck.

#### A putative chimpanzee genomic disorder

Among the Western chimpanzees assessed, we identified one particularly striking private structural variant—an ~1.7-Mb microdeletion on 17p11.2 in the individual Susie-A (BPRC) (Fig. 5A). This deletion encompasses 29 genes, including *RAI1* (retinoic acid-induced 1). In humans, deletions of this locus cause Smith-Magenis syndrome (SMS). SMS is a rare syndrome with an incidence of 1 in 15,000–25,000 (Elsea and Girirajan 2008), resulting in severe behavioral abnormalities, mental retardation, and developmental delay. The clinical features of this chimpanzee bear striking similarity to many of the phenotypes observed in SMS patients (Table 2), including common SMS maladaptive behaviors such as aggression and disobedience, obesity, a humped back indicative of kyphoscoliosis, renal abnormalities, and velopharyngeal insufficiency (Supplemental Section 10). The chimpanzee deletion is flanked by



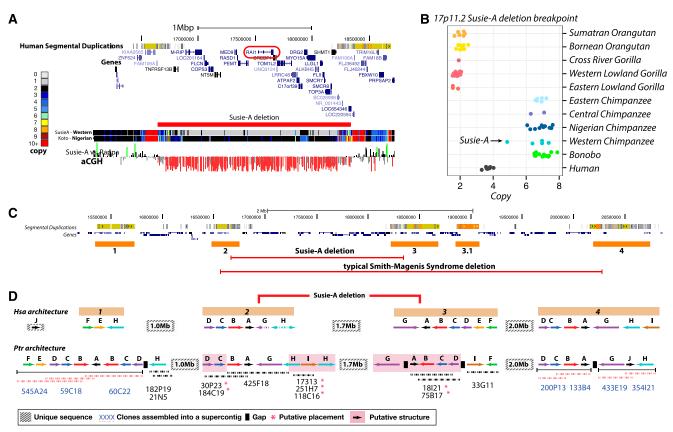
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**Figure 4.** CNV rates and polymorphism. Rates of duplication (*A*) and deletion accumulation (*B*) as a function of the number of substitutions along each branch of the great ape phylogeny. Tree branch lengths are scaled proportionally to the number of substitutions, while tree widths are scaled proportionally to the number of duplicated base pairs per substituted base pair. Duplicated base pairs were added ~2.6-fold the rate of substitution along the African great ape ancestral branch, which rapidly declined in the chimpanzee–human ancestral lineage and more slowly in the gorilla lineage. In contrast, the rate of deletion in the great ape lineage is fairly consistent along all branches (mean of 0.32 deleted base pairs per substitution) with the exception of the chimpanzee–bonobo ancestral lineage, where an approximate twofold increase in the rate of deletion is observed (0.71 deleted base pairs per substitution). (C) The rate of genic deletion events and gene duplication events per million years plotted for each of the lineages assessed in this study. The rate of gene deletion events is significantly higher in the chimpanzee–bonobo ancestral gorilla lineage ( $P=1.663 \times 10^{-20}$ ). (D) A survival curve of the total load of segregating duplications >30 kb in Western chimpanzees, Sumatran orangutans, and bonobos compared to all other great apes shows that these three populations harbor an increased total number of duplicated base pairs (results significant for each individual population and combined). (*E*) A survival curve for the total load of deletions >30 kb in Western chimpanzees compared to all other great apes shows a significant expensions and of deletions show the lowest diversity of any of the populations assessed in this study and the most fixed deletions of all chimpanzee species assessed.

multiple loci that have undergone expansion in the Pan genus (Fig. 5B). The typical human SMS deletion spans an additional 2 Mb and has breakpoints mapping to different locations and different segmental duplication blocks (Fig. 5C). To resolve the chimpanzee duplication organization, we sequenced to high quality a total of 20 large-insert BAC clones (2.9 Mb, ~1.73 Mb nonredundant sequence) identifying ~765 kb of sequence absent from panTro3. We find that these blocks have increased in size and complexity in the chimpanzee lineage with at least an additional 600 kb of duplicated sequence compared to human (Fig. 5D). These results predict that the chimpanzee genome harbors a novel 17p11.2 architecture whose more complex organization predisposes to a deletion resulting in an SMS-like phenotype. This identifies the first chimpanzee-specific genomic disorder mediated by lineagespecific expansion and restructuring of segmental duplications creating a putative chimpanzee-specific hotspot for deletion.

#### Discussion

We present the first genome-wide assessment of duplication and deletion diversity where single nucleotide substitutions have been used to calibrate CNV accumulation over the course of great ape evolution. There are three novel findings in this study. First, chimpanzees show an excess of large deletions early in their history. This is in stark contrast to almost every other population of great ape, where deletions have accumulated in a more clocklike fashion. The ancestral human lineage does not show an excess in the number of duplicated or deleted base pairs despite previous predictions (Olson 1999; Varki et al. 2008). Second, specific populations of great apes show an excess of copy number polymorphic duplications, notably Western chimpanzees, bonobos, and Sumatran orangutans. Only the Western chimpanzee shows evidence of increased deletion polymorphism. These three populations stand



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**Figure 5.** A chimpanzee genomic disorder. (*A*) A genome browser snapshot of the 17p11.2 Smith-Magenis syndrome (SMS) critical region with a copy number heat map of the Western chimpanzee Susie-A and the Nigerian–Cameroon chimpanzee Koto. Susie-A has a 1.7-Mb deletion of this locus, which encompasses *RAI1*, the critical gene associated with the SMS phenotype. We confirm this deletion by array CGH. (*B*) Copy number of great apes assessed in this study over the Susie-A deletion breakpoint 2 H-duplicon. (C) Organization of the 17p11.2 SMS locus and 17p12 in humans with four blocks of segmental duplication. The typical human SMS deletion spans  $\sim$  3.7 Mb with different breakpoints from the Susie-A deletion (Elsea and Girirajan 2008). (*D*) Segmental duplication architecture of the 17p11.2 locus as represented in the human reference genome and constructed in chimpanzees from high-quality sequencing of 22 BAC clones. We were able to assemble and anchor 11 of these clones into seven contigs. The remaining 11 contigs were placed at their most likely locations and orientations based on their underlying duplication architecture and read-depth analysis of Susie-A compared to normal chimpanzees. We hypothesize that a nonallelic homologous recombination event between the directly oriented chimpanzee G duplicons resulted in Susie-A's deletion.

out in that they are predicted to have experienced sudden rises and crashes in effective population size. The Western chimpanzees are the most extreme in this regard, showing the strongest signal of genetic drift and the largest excess of ancestry-informative markers—consistent with the strongest bottleneck.

One possibility may be that CNPs (both duplications and deletions), in general, increase with small effective population sizes but that a severe bottleneck is necessary in order to result in an increase in deletion burden as a result of strong selection against deletions. The neutral nature of the vast majority of SNPs suggests that reductions in diversity may, in some cases, have little effect on overall fitness, in contrast to large structural variants. Human investigations as well as Drosophila studies have additionally shown that deletions affecting genes are significantly more deleterious than duplications (Emerson et al. 2008; Cooper et al. 2011). Indeed, analyses of the theoretical relationship between  $N_{\rm e}$  and rates of deletion and duplication have suggested that fluctuations in effective population size may play a significant role in overall variations in genome size among organisms (Lynch 2007). These findings would explain the excess of deletions specifically in the ancestral chimpanzee branch because this species shows the most drastic decline in effective population size when compared to orangutan, human, and gorilla. Humans once again are similar to other great apes with respect to CNP burden and do not particularly stand out, although the number of genomes compared are few.

Finally, we report the first evidence of a genomic disorder in the chimpanzee lineage. The phenotype is remarkably similar to SMS, but the breakpoints are not shared with the common recurrent deletion seen in humans. Our sequencing analysis shows that the chimpanzee 17p11.2 breakpoints have radically changed in structure and content facilitating nonallelic homologous recombination. Owing to the evolution of this chimpanzee-specific architecture, we predict that this locus represents a chimpanzee genomic hotspot of mutation and that additional recurrent microdeletions may be encountered among the chimpanzee population. It is somewhat surprising that Susie-A was captured from the wild, albeit as a young chimp. In light of her behavioral anomalies, it is unlikely that she would have survived to adulthood outside of captivity. This raises the intriguing possibility that additional cases, and perhaps novel recurrent genomic disorders, may be encountered as apes continue to be bred in captivity. Most

#### Evolution and diversity of CNVs in great apes

I/pll.2 deletion		
Clinical features of Smith-Magenis syndrome	Related clinical features of Western chimpanzee Susie-A	
Maladaptive behavioral issues including:	Susie-A is described as exhibiting:	
<ul> <li>Frequent outbursts and tantrums</li> <li>Aggression</li> <li>Disobedience</li> <li>Emotional volatility</li> <li>Tendency toward attention-seeking behaviors</li> <li>Lack of respect for personal space during conversation</li> </ul>	<ul> <li>"marked impairment in her behavioral skills"</li> <li>"mean and more aggressive than usual" behavior</li> <li>When in close proximity to people, Susie-A would palpate her genitals, a challenging "culturally abnormal" sexualized behavior in chimpanzees.</li> </ul>	
Abnormal curvature of the spine and scoliosis are present in 50%–75% of individuals with SMS (Greenberg et al. 1996).	Susie-A had a hump on her back indicative of kyphoscoliosis (abnormal spine curvature).	
Edelman et al. (2007) found that individuals with RAI1 mutations and deletions were likely to be obese. Mouse models of RAI1 deletions additionally demonstrated an obese phenotype for deletions but not duplications of the critical locus (Walz et al. 2003; Yan et al. 2004); duplications conferred an underweight phenotype. A null RAI1 allele in mice generated by Bi et al. (2005) also exhibited obesity.	Susie-A was an obese chimp with a body weight of ~90 kg. The normal body weight of a mature chimpanzee is between 50 and 65 kg and often less for female Western chimpanzees.	
>75% of SMS patients exhibit otolaryngologic abnormalities including a hoarse, deep voice (Greenberg et al. 1996). 50%–75% exhibit tracheobronchial problems and velopharyngeal insufficiency.	Susie-A exhibited tracheitis and had grossly overlapping tracheal cartilage ends, which are suspected to contribute to the documented hoarse breathing noises she would make.	
Renal abnormalities have been shown to occur in 20%–35% of SMS patients (Greenberg et al. 1996). Additionally, 25%–50% of SMS patients have been found to have cardiac abnormalities.	The most significant postmortem histopathological finding of Susie-A was chronic interstitial nephritis, which was clinically observed with increased creatinine and leukocytosis consistent with renal failure. Obesity and tracheitis presumably played a role in her final cardiorespiratory failure as well.	

**Table 2.** Common clinical features of Smith-Magenis syndrome and related features of Western chimpanzee Susie-A with a corresponding

 17pll.2 deletion

comparative sequencing studies of human genomic disorder breakpoint regions have reported increasing complexity in the human lineage as a predisposing factor to rearrangement associated with disease (Rochette et al. 2001; Antonacci et al. 2010; Boettger et al. 2012). Our results show that loci of increasing complexity are present in other great ape lineages creating speciesspecific hotspots prone to deletion and disease.

#### **Methods**

Read-depth profiles were initially constructed from whole-genome sequence from 120 great ape individuals. We assessed the quality of each of these genomes by assessing the sequence read-depth in regions of the genome (1.1 Gbp) regarded as copy number invariant (Supplemental Section 1). We excluded 23 individual genomes that showed considerable heterogeneity in their read-depth presumably due to nonuniformity (Supplemental Fig. 1.1). We report analysis on the remaining 97 genomes: 75 were sequenced as part of the Great Ape Genome Diversity Project (Prado-Martinez et al. 2013) to a mean coverage of  $\sim 25 \times$  on an Illumina HiSeq 2000, while an additional nine orangutans, 10 humans, and the Denisovan individual were sequenced as part of the Orangutan Genome Project and the Denisova Genome Project (Locke et al. 2011; Meyer et al. 2012). Individuals sequenced as part of the Great Ape Genome Project were originally selected to best represent wild natural diversity by focusing on captive individuals of known wildborn origin in addition to individuals from protected areas in Africa (Supplemental Table S1). Individual genome subspecies designations were assigned as reported by sample sources and confirmed by SNP genotyping and PCA analysis. All reads were first divided into their 36-bp constituents and mapped to the human reference genome (NCBI36) using the mrsFASTc read aligner (Hach et al. 2010). Read-depth estimates across the genome were corrected for the underlying GC content, and a calibration curve from regions of known copy number was used to assign copy number

estimates to windows of the genome. These regions were then segmented using a scale-space filtering algorithm (Supplemental Section 3).

Briefly, the scale-space filtering algorithm transforms the windowed copy number waveform, f(x), into a set of waveforms,  $f(x,\sigma)$ , where values of  $\sigma$  represent the standard deviation of a Gaussian smoothing kernel applied to the original waveform. Contours of this transform are then traversed from large values of  $\sigma$ as  $\sigma \rightarrow 0$ , and the resulting segments are hierarchically clustered. We also masked regions of high GC content (>57%, corresponding to 2.23% of the genome). Array CGH validation experiments were performed in duplicate for every sample tested with Cy3 and Cy5 labeling dyes swapped. Probes giving opposite signals in the dye swap experiment were discarded. Only loci with at least three probes were considered for validation. CNV load comparisons were performed using Kaplan-Meier survival curves, and statistical tests were corrected for sample size. BAC clones were selected from the chimpanzee BAC library CHORI-251 corresponding to the male chimpanzee Clint. Clones were sequenced using a PacBio RS system using standard protocols. The library was prepared with a 10kb insert size and sequence generated with C2 chemistry in 90-min movies.

#### Data access

Copy number maps for the 97 individuals assessed in this study are available online (http://eichlerlab.gs.washington.edu/greatapecnv). All lineage-specific and segregating copy number variants are additionally reported in Supplemental Tables S2–S11. All structural variants have been deposited into the database of genomic structural variation (dbVAR; http://www.ncbi.nlm.nih. gov/dbvar/) under accession number nstd82. Underlying raw sequence reads have been deposited in the NCBI Sequence Read Archive (SRA; http://www.ncbi.nlm.nih.gov/sra/) under accession number SRP018689. See also BioProject (PRJNA189439; http:// www.ncbi.nlm.nih.gov/bioproject).

#### Competing interest statement

E.E.E. is on the scientific advisory boards for Pacific Biosciences, Inc., SynapDx Corp., and DNAnexus, Inc.

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